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The role of calcium on the formation of alkali-silica reaction products

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27 **Abstract:**

28 Predicting the conditions for alkali-silica reaction (ASR) had been difficult for several dec-
29 ades due to the lack of in-depth knowledge of the ASR products. In this study, thermodynam-
30 ic data for the synthesized ASR products (i.e., K-shlykovite, Na-shlykovite and ASR-P1) at
31 80 °C are determined. The effect of the initial Ca/Si ratio, from 0 to 0.5, on the formation of
32 ASR products at 80 °C is investigated for samples prepared with an initially fixed K/Si or
33 Na/Si ratio of 0.5. The results show that the amount of ASR products formed first increases
34 and then decreases with increasing the initial Ca/Si ratio. The reduced amount of ASR prod-
35 ucts at higher Ca/Si ratio is accompanied by formation of C-S-H, suggesting that a conversion
36 of ASR products to C-S-H can occur at high Ca/Si ratio. The solid phases and aqueous chem-
37 istry predicted by thermodynamic modelling agrees very well with the experimental results.

38

39

40 **Keywords:** alkali-silica reaction; shlykovite; ASR-P1; C-S-H; thermodynamic modelling

41

42 1. Introduction

43 As one of the most challenging problems in concrete durability, alkali-silica reaction
44 (ASR) has received significant attentions over the past 80 years since its discovery in 1940's
45 by Stanton [1]. Because of its slow reaction, damages of concrete structures caused by ASR
46 are usually observed after decades and are difficult to predict. In addition to the presence of
47 reactive silica within aggregates, alkali hydroxide and water, which are essential to initiate
48 ASR, the presence of calcium is also important for formation of ASR products.

49 Many studies have addressed the importance of calcium in ASR [2][3][4][5][6][7][8],
50 however its role has been controversial for many years. Chatterji [9] suggested that the pres-
51 ence of a high amount of portlandite in the pore solution could form a semi-permeable layer
52 around the aggregates, which allowed the hydroxyl ion penetration into the reactive silica
53 grain but prevented the silica diffusion from the reactive site. Later, many other studies have
54 confirmed that presence of calcium was essential for generating ASR expansion
55 [3][2][10][11][12][13][14] and that in the absence of calcium the reactive silica only dis-
56 solved and remained in the solution [3][2][8]. The important role of calcium on ASR was also
57 recently demonstrated in alkali-activated low-Ca fly ash and metakaolin mortars, where much
58 lower or no ASR expansion was observed, although a high alkali dosage was used for prepar-
59 ing the mortars [15].

60 In the presence of calcium, the dissolution of silica can be enhanced [6][16]. However, it
61 was also reported that ASR gel without calcium could also form [17], and that high calcium
62 content could reduce the expansion potential of ASR [18][14]. Furthermore, the calcium con-
63 tent in ASR products seems to increase with age, and calcium is often enriched in the ASR
64 products found in the cement paste compared to those formed within reactive aggregates
65 [19][20][13][21][22][23].

66 In order to better understand the formation conditions of ASR products in particular the
67 role of calcium on ASR, thermodynamic modelling can be used as a powerful tool for predict-
68 ing and understanding the reactions. Thermodynamic modelling has already been applied suc-
69 cessfully to describe the C-S-H phase in hydrated cement depending on the Ca/Si, Al/Si and
70 pH [24][25]. First attempts at applying thermodynamic models to ASR have been made by
71 Kim and Olek [26], and by Guthrie and Carey [27]. However, due to the lack of experimental
72 solubility measurements and derived solubility products, Kim and Olek used the estimated
73 solubilities for two hypothetical ASR products ($K_2Ca_4Si_6O_{17} \cdot 10.5H_2O$ and
74 $Na_2Ca_4Si_6O_{17} \cdot 10.5H_2O$) to describe the sequence of ASR [26], while Guthrie and Carey used

75 the thermodynamic data for magadiite ($\text{NaSi}_7\text{O}_{14}\cdot 4.5\text{H}_2\text{O}$) and okenite ($\text{CaSi}_2\text{O}_5\cdot 2\text{H}_2\text{O}$) as
76 surrogates for an alkali-silicate and a high calcium-silicate ASR products [27]. These calcula-
77 tions showed that it is in principle possible to predict the conditions for formation of ASR
78 products, but also highlighted the need to use realistic chemical compositions and solubility
79 products to predict the conditions for formation of ASR products.

80 Recently, two crystalline ASR products (K-shlykovite: $\text{KCaSi}_4\text{O}_8(\text{OH})_3\cdot 2\text{H}_2\text{O}$; Na-
81 shlykovite: $\text{NaCaSi}_4\text{O}_8(\text{OH})_3\cdot 2.3\text{H}_2\text{O}$) and one nano-crystalline ASR product (ASR-P1:
82 $\text{K}_{0.52}\text{Ca}_{1.16}\text{Si}_4\text{O}_8(\text{OH})_{2.84}\cdot 1.5\text{H}_2\text{O}$) have been successfully synthesized [8]. The structures of
83 the two synthesized crystalline ASR products were found to be very similar to the crystal
84 structure of the natural mineral shlykovite ($\text{KCa}[\text{Si}_4\text{O}_9(\text{OH})]\cdot 3\text{H}_2\text{O}$) [28][23], which has a
85 layered silicate structure with its SiO_4^{4-} tetrahedron charge balanced by K^+ and Ca^{2+} in the
86 main layer and by H^+ in the interlayer as shown in Fig. 1. For ASR-P1, a silicate sheet struc-
87 ture was also revealed [8]. Although the synthesis was conducted at much higher temperature
88 compared to ASR in field concrete, it was found that the synthesized ASR products were very
89 similar to those formed in concrete aggregates in term of chemical composition and structure
90 [8][23], and almost identical to ASR products formed in concrete aggregate after concrete
91 prism test at 60 °C according to Raman spectroscopy results [8]. Such strong similarity be-
92 tween the synthesized ASR products and ASR products formed in concrete aggregates sup-
93 port the use of synthesized ASR products for further understanding ASR. In particular, the
94 successful syntheses of the large amount of these ASR products offer the possibility to deter-
95 mine their solubility products, which can be further implemented into thermodynamic model-
96 ling, and then used to predict the conditions for formation of ASR products, such as the role
97 of calcium on ASR.

98 The aim of the present study is to experimentally determine the thermodynamic data for
99 the ASR products (i.e., K-shlykovite, Na-shlykovite and ASR-P1) based on the samples syn-
100 thesized at 80 °C after reaction for 90 days, and to investigate the effect of the initial Ca/Si ra-
101 tio of the mixtures on the ASR using thermodynamic modelling. The samples are investigated
102 by X-ray diffraction analysis (XRD), ^{29}Si MAS NMR and Fourier Transform Infrared Spec-
103 troscopy (FTIR) to identify the types of ASR products.

104

105 2. Materials and methods

106 2.1 Sample preparations

107 Different samples with the same initial K/Si or Na/Si ratio of 0.5 and different initial
108 Ca/Si ratios of 0, 0.1, 0.2, 0.3, 0.4 and 0.5 were synthesized by mixing designated quantities
109 of amorphous SiO₂ (hydrophilic silica, surface area 200 m²/g, from EVONIK industries) with
110 CaO (obtained by burning calcium carbonate for 12 h at 1000 °C) and analytical KOH (≥ 85%
111 KOH basis) or NaOH (≥ 99.9% NaOH basis) pellet as shown in Table 1 and Fig 2. The rela-
112 tively higher initial alkali/Si ratio was used in order to maintain the high pH of the solutions
113 during reaction. The Ca/Si ratios lower than 0.5 were selected, since calcium-silicate-hydrate
114 will be the main reaction products at Ca/Si above 0.5. Three series of the samples were pre-
115 pared: i) the samples containing K or (ii) containing Na as the alkalis source with high water
116 contents (i.e., 60 – 100 g for each mixing), and (iii) samples containing only K as the alkali
117 source with low water contents (i.e., 30 – 50 g for each mixing). For each experimental series,
118 the water content is somewhat adjusted depending on the Ca/Si ratios in order to be able to
119 well disperse the materials during mixing. All samples were mixed in 100 mL high density
120 polyethylene (PE-HD) bottles (from Semadeni AG) and equilibrated at 80 °C for 90 days. Af-
121 terwards, the liquid and solid phases were separated by filtration using paper filters with mesh
122 size of 20 µm. Roughly 5 mL of the obtained solution were in addition immediately filtered
123 with 0.45 µm syringe filter for pH measurements and analysis of the solution compositions.
124 The solids were rinsed first with approximately 50 mL of 1:1 water-ethanol solution and then
125 with 50 mL 94% ethanol solution in the N₂ filled glove box. The obtained solids were then
126 vacuumed dried (ca. 200 mbar) for 3 days in desiccator filled with N₂ and containing CO₂ ab-
127 sorbent to prevent carbonation during storage.

128

129 2.2 Methods

130 2.2.1 Experimental approaches

131 The experimental approaches used in this study are the same as detailed in our previous
132 work [8]. The solids obtained were analyzed by X-ray powder diffraction (XRD, PANalytical
133 X'pert Pro) with CoK α radiation in a θ - θ configuration. The samples were scanned with a step
134 size of 0.017° 2 θ between 5 and 90° 2 θ with the X'Celerator detector during 2.5 hours. The
135 ²⁹Si MAS NMR spectra were recorded on a Bruker Avance III NMR spectrometer at 79.5
136 MHz using a 7 mm CP/MAS probe. The following parameters were applied: 4500 Hz sample
137 rotation rate, minimum of 10240 scans, 30° ¹H pulse for 2.5 µs, 20 s of relaxation delays, RF

138 field strength of 33.3 kHz during SPINAL64 proton decoupling. The ^{29}Si chemical shifts
139 NMR spectra were externally referenced to tetramethylsilane (TMS) at 0.0 ppm. FTIR spectra
140 were recorded on a Bruker Tensor 27 FT-IR spectrometer by transmittance between 600 and
141 4000 cm^{-1} with a resolution of 4 cm^{-1} on ~ 3 mg of powder of the synthesized ASR products.

142 The pH measurements were carried out as fast as possible after filtration using small frac-
143 tion of the filtrated solution at room temperature around $23\text{ }^{\circ}\text{C}$ with a Knick pH meter (pH-
144 Meter 766) equipped with a Knick SE100 electrode. The electrode was calibrated with KOH
145 or NaOH solutions of known concentrations to minimize the alkali error caused by the pres-
146 ence of high K and Na concentrations [29]. Another part of the filtrated solution was diluted
147 by 1:10, 1:100 and 1:1000 with MilliQ water and used for ionic chromatography (IC) analysis.
148 The bulk chemical compositions of the obtained solids were calculated from mass balance
149 calculations based on the chemical compositions of the starting materials and the measured
150 elemental concentrations of the solution at equilibrium by taking into account the water bound
151 in solids measured by thermogravimetric analysis (TGA). The impurities of KOH pellet and
152 10% analytical error of IC measurements have been taken into account in the mass balance
153 calculations.

154

155 **2.2.2 Thermodynamic modelling**

156 Thermodynamic modelling was performed using the Gibbs free energy minimization
157 software GEMS v3.3 [30][31]. It is a general-purpose geochemical modelling program which
158 can be used to calculate the thermodynamic equilibrium and predict the composition of a sys-
159 tem composed of aqueous, solid and gaseous phases at the temperature and pressure of inter-
160 est by minimizing the Gibbs free energy of the system. The calculations were carried out us-
161 ing the PSI/Nagra general thermodynamic database [32], and the Cemdata18 database [33] to
162 calculate the elemental concentration in solution and solid phases precipitated at $80\text{ }^{\circ}\text{C}$. For
163 C-S-H, the CNSH model developed by Myers et al was used [34]. In addition, a K-
164 endmember was also introduced with a stability 0.5 log units lower than the Na-endmember
165 as detailed in Table 2.

166 Solubility data for the model ASR products (Na-shlykovite, K-shlykovite and ASR-P1)
167 are obtained experimentally in this study from both precipitation and dissolution experiments
168 at $80\text{ }^{\circ}\text{C}$. Based on the chemical composition of the solid and the measured aqueous concen-
169 trations, solubility products were calculated for the following three different ASR products:

170

171 K-shlykovite: $K_{S0}(KCaSi_4O_8(OH)_3 \cdot 2H_2O) = \frac{\{K^+\} \cdot \{Ca^{2+}\} \cdot \{SiO_2^0\}^4 \cdot \{OH^-\}^3 \cdot \{H_2O\}^2}{\{KCaSi_4O_8(OH)_3 \cdot 2H_2O\}}$

172 Na-shlykovite: $K_{S0}(NaCaSi_4O_8(OH)_3 \cdot 2.3H_2O) = \frac{\{Na^+\} \cdot \{Ca^{2+}\} \cdot \{SiO_2^0\}^4 \cdot \{OH^-\}^3 \cdot \{H_2O\}^{2.3}}{\{NaCaSi_4O_8(OH)_3 \cdot 2.3H_2O\}}$

173 ASR-P1: $K_{S0}(K_{0.52}Ca_{1.16}Si_4O_8(OH)_{2.84} \cdot 1.5H_2O) = \frac{\{K^+\}^{0.52} \cdot \{Ca^{2+}\}^{1.16} \cdot \{SiO_2^0\}^4 \cdot \{OH^-\}^{2.84} \cdot \{H_2O\}^{1.5}}{\{K_{0.52}Ca_{1.16}Si_4O_8(OH)_{2.84} \cdot 1.5H_2O\}}$

174

175 {} denotes the activity of the species calculated by GEMS from the measured concentra-
 176 tions of the equilibrium solution. The activity of a pure solid phase is equal to 1 by definition.
 177 Activities (dimensionless) of dissolved species are related to the molal concentrations [] (in
 178 mol/kg H₂O) by a correction term, i.e., the activity coefficient (γ_i , dimensionless), which can
 179 be expressed as $\{K^+\} = \gamma_{K^+}[K^+]$ for instance for K^+ ; $[K^+]$ is the molal concentration in
 180 mol/kg H₂O. The activity coefficient (γ_i) of the relevant species were calculated using the ex-
 181 tended Debye–Hückel equation with the common ion-size parameter a_i of 3.67 Å for KOH
 182 and 3.31 Å for NaOH solutions and the common third parameter b_y according to the equation:

183 $\log \gamma_i = \frac{-A_y z_i^2 \sqrt{I}}{1 + B_y a_i \sqrt{I}} + b_y I$, where z_i is the charge of species i , I is the effective molal ionic
 184 strength, b_y is a semi-empirical parameter (~ 0.123 for KOH and ~ 0.098 for NaOH electrolyte
 185 at 25 °C), and A_y and B_y are P,T-dependent coefficients. For uncharged species, the above
 186 equation reduces to $\log \gamma_i = b_y I$. This extended Debye-Hückel activity correction is applica-
 187 ble up to approx. 1 M ionic strength [35].

188

189 3. Results and discussion

190 3.1 Chemical compositions of the synthesized ASR products

191 The solid phase compositions of these samples determined by SEM/EDX, mass balance
 192 calculations and TGA measurements are summarized in Table 3. Compared to the SEM/EDX
 193 data, the Ca/Si, Na/Si and K/Si ratios calculated from mass balance, based on the initial com-
 194 positions and the measured concentrations of solutions, give the total bulk composition of the
 195 ASR product(s) and any other solid present, while SEM/EDX data are better able to capture
 196 the composition of the of the solid of interest. The SEM/EDX data show negligible amounts
 197 of Na in the ASR-P1. Comparison of the results between SEM/EDX and mass balance sug-
 198 gests that the solids also contain C-S-H and/or unreacted silica in addition to the different
 199 ASR products. The Ca/Si, K/Si and H₂O/Si ratios measured by SEM/EDX and TGA for the
 200 synthesized K-shlykovite agree within the error of measurements with the chemical composi-

201 tion of the natural shlykovite: $\text{KCa}[\text{Si}_4\text{O}_9(\text{OH})] \cdot 3\text{H}_2\text{O}$ determined by [28]. For Na-shlykovite
202 the same Ca/Si and alkali/Si ratios are used, as structural refinement of the XRD data indicat-
203 ed the absence of any additional cations in the interlayer of Na-shlykovite [8]. For the nano-
204 crystalline ASR-P1, $\text{K}_{0.13}\text{Ca}_{0.29}\text{SiO}_2(\text{OH})_{0.71} \cdot 0.375\text{H}_2\text{O}$, the data from SEM/EDX and TGA
205 are used.

206 3.2 Solubility products

207 The solubility products for K-shlykovite, Na-shlykovite and ASR-P1 are first calculated
208 based on the data collected from precipitation experiments (See Table 4). Based on the select-
209 ed chemical composition given in Table 3 and the measured aqueous concentrations, solubili-
210 ty products for the different ASR products are calculated. However, the calculated solubility
211 data from the precipitation experiments show a significant scatter, which are related to very
212 high silicon concentrations up to 900 mM and the relatively high temperature, since the pre-
213 sent thermodynamic databases [32][33] cannot precisely describe the aqueous polynuclear sil-
214 ica complexes formed at high silica concentrations. In addition, temperature parameters are
215 missing to extrapolate the stability of aqueous polynuclear silica species from ambient tem-
216 perature to 80 °C, indicating the need for a better description of aqueous silica species at high
217 concentrations of Si and temperatures.

218 Due to the problems caused by the high silicon concentration in the precipitation experi-
219 ments, the solubility products of ASR products are also measured from dissolution experi-
220 ments by re-dispersing 0.6g dried samples (i.e., SNC: Na-shlykovite, $\text{SK}_{0.35}\text{N}_{0.15}\text{C}$: ASR-P1
221 and SKC#: K-shlykovite, see Table 4) from a previous study [8] in 10g water at 80 °C for 1
222 month. Afterwards, the samples were filtrated. The pH and composition of the solutions were
223 measured as shown in Table 4. The composition of the solids after dissolution and filtration
224 was verified with FTIR measurements as shown in Fig 3. The results show that the part of the
225 synthesized ASR products remains after re-dispersion in 10g water. Na-shlykovite is partially
226 dissolved and some C-S-H is formed. The formation of ASR-P1 is observed for the sample in-
227 itially only containing K-shlykovite, suggesting that a conversion of K-shlykovite to ASR-P1
228 can take place at high water contents as also shown in Fig 4. Therefore, the solubility products
229 for both K-shlykovite and ASR-P1 can be obtained from the SKC# sample.

230 The solubility products of Na-shlykovite and K-shlykovite derived from the dissolution
231 experiments only are used for thermodynamic modelling, while for ASR-P1 the mean value
232 from the data obtained in dissolution experiments (Table 4) and in the precipitation experi-
233 ments in the later section with the concentration of Si less than 100 mM is calculated as sum-

234 marized in Table 5. The observation of a systematic difference in $\log K_{S0}$ between precipitation
235 and dissolution experiments suggests that the near-equilibrium conditions have been reached in both
236 kinds of experiments.

237

238 3.3 Phase assemblages

239 The XRD patterns for the solid samples containing K and Na with high and low water
240 contents are shown in Fig. 4. For the reference samples (SKC₀ and SNC₀) without calcium,
241 only the unreacted amorphous silica is observed as shown by the presence of a broad peak at
242 26° 2 θ . Clearly no alkali-silicate gel seems to be formed in the absence of calcium, in contrast
243 to observations reported in literature that alkali-silicate gel without calcium could form during
244 ASR [17]. High concentrations of silicon and alkali are found in the equilibrium solution indi-
245 cating a partial dissolution of the amorphous silica (see Table 6).

246 For the K-containing samples, the addition of a small amount of calcium for the samples
247 with initial Ca/Si ratio of 0.1 and 0.2 results in the formations of poorly crystalline and crys-
248 talline reaction products for the samples with high and low water contents, respectively. Trac-
249 es of the same crystalline product are also observed in the SKC_{0.2} sample with high water con-
250 tent by comparing their XRD patterns in Fig 4a and Fig 4b, which is also further confirmed by
251 ²⁹Si MAS NMR results shown in Fig 5. For the Na-containing samples in Fig 4c, another
252 crystalline ASR product is observed with increasing the initial Ca/Si ratio, and its intensity
253 reaches maximum at Ca/Si of 0.2 and 0.3. Traces of Na-shlykovite are also observed in the
254 SNC_{0.1} sample as well as a minor unidentified phase. The crystalline phases observed in both
255 K and Na samples correspond to K-shlykovite and Na-shlykovite reported in previous studies
256 [28][8], which have similar structure to the ASR products formed in the field and in concrete
257 subjected to higher temperature for accelerated ASR testing [8][23]. The poorly crystalline
258 ASR product has been labeled previously as ASR-P1 [8] and contains somewhat more Ca
259 than shlykovite. With the further increase of the Ca/Si ratios for the SKC_{0.3} and SKC_{0.4} sam-
260 ples, only the nano-crystalline ASR product (ASR-P1) is observed. At the highest Ca/Si ratio
261 of 0.5, ASR-P1 co-exists with C-S-H in SKC_{0.5} samples at high and low water contents. For
262 Na-containing samples, at higher Ca/Si ratio of 0.4, the intensity of the XRD pattern related to
263 the Na-shlykovite is significantly reduced, accompanied by the formation of C-S-H. At Ca/Si
264 equal to 0.5 only C-S-H is present and no Na-shlykovite is observed. This sequence is similar
265 to what has been observed for K-shlykovite and ASR-P1 as discussed above. In contrast to K-
266 containing samples with high water contents, no ASR-P1 or its analogue is observed in the

267 Na-containing samples, indicating that ASR-P1 cannot be formed in the absence of K. Na-
268 shlykovite is observed at higher water contents, again in contrast to the conversion of K-
269 shlykovite into ASR-P1 at high water contents.

270 The results in Fig 4 underline that calcium is necessary to form ASR products in line with
271 many other studies [2][3][4][6][7] and also that too much calcium destabilizes the ASR prod-
272 ucts to C-S-H as also reported previously [5][6]. Moreover, K-shlykovite is found to be less
273 stable at high calcium and high water contents than ASR-P1. The evidence of conversion of
274 the crystalline ASR product to an amorphous one and further to C-S-H phase shows some
275 similarities to the observations in ASR affected concrete samples, where the ASR products
276 found in paste have higher content of Ca than those found within reactive aggregate
277 [20][19][21][23][22].

278

279 **3.4 Solution chemistry**

280 The measured concentrations of Ca, K (or Na) and Si in the supernatants together with
281 the pH values (measured at 23 °C) for the K- and Na-containing samples with high and low
282 water contents are shown in Table 6 and Fig 6. The Ca concentrations are generally higher at
283 high Ca/Si ratio for all samples with both high and low water contents. Nearly no Ca is pre-
284 sent in the equilibrium solution for the K-containing samples with Ca/Si ratio of 0.1 and 0.2,
285 where the formation of K-shlykovite is observed from XRD patterns as shown in Fig 4a,b.
286 Note that a low amount of Ca (0.036 mM Ca, from impurities in the starting materials) is
287 measured in the sample SNC₀, where no CaO had been added during the synthesis. Very low
288 Ca concentrations are observed for the Na-containing samples with Ca/Si ratio of 0.1 and 0.2,
289 indicating that all Ca has reacted to form Na-shlykovite and other phase(s) as seen from the
290 XRD results in Fig 4c. At a Ca/Si ratio of 0.3, Ca concentration is slightly increased for all the
291 samples, which could indicate the presence of another phase, most likely C-S-H, together with
292 ASR-P1 or Na-shlykovite, although C-S-H is not clearly seen from the XRD results in Fig 4.
293 With the increase of the Ca/Si ratio, a decrease of concentration for both K (or Na) and Si to-
294 gether with increase of pH are observed; the concentrations tend to reach a constant value at
295 Ca/Si ratio ≥ 0.4 . The Si concentration, which is higher at lower Ca/Si, is observed to reduce
296 faster than K concentration with increasing the Ca/Si ratio.

297 For the K-containing samples, the K and Si concentrations are lower for samples with
298 higher water contents due to the dilution effect. However, the Ca concentration is an order of
299 magnitude higher for samples with higher water contents than the samples with lower water

300 contents due to the common ion effect between Ca, Si and K as also observed in another study
301 [36]. Despite the differences in the measured concentrations between samples with high and
302 low water contents as shown in Fig 6, it is interesting to see that their pH values at the same
303 Ca/Si ratio are very similar. This observation suggests that the effect of water content on the
304 solution concentrations and formation of different types of ASR products is not affected by
305 the pH of the equilibrium solution.

306 Overall, the Ca, Na and Si concentrations are lower for Na-containing samples than for
307 the corresponding K-containing samples with similar water contents indicating more uptake
308 of Na than K in the solids, consistent with previous observations [8]. The Ca concentrations
309 are two orders of magnitude lower for the Na-containing samples than for the corresponding
310 K-containing samples, indicating a higher stability of Na-shlykovite than of K-shlykovite and
311 ASR-P1. Similar trends are also observed for the measured pH values, although the values are
312 lower for the Na-containing samples than for the K-containing samples. The trends observed
313 for the changes in Ca, K (or Na) and Si concentrations together with the changes of the meas-
314 ured pH values with increasing Ca/Si ratio are found to be similar to those for C-(A)-S-H
315 samples [37][25][38].

316

317 **3.5 Thermodynamic modelling of ASR**

318 Based on the thermodynamic data derived for K-shlykovite, Na-shlykovite and ASR-P1
319 at 80°C, the changes in equilibrium concentrations and pH of the equilibrium solutions, to-
320 gether with the phase assemblages with increasing Ca/Si ratio are predicted by thermodynam-
321 ic modelling as shown in Fig 7. For comparison, the experimental data from Table 6 is also
322 plotted in the same figure. Overall, the thermodynamic modelling results show similar trends
323 for the changes in equilibrium concentrations and pH values with increasing Ca/Si ratio as the
324 experimental observations: (i) Ca concentration and pH values are higher at high Ca/Si ratio,
325 whereas K (or Na) and Si concentrations are lower; (ii) low K (or Na) and Si concentration at
326 high water contents; (iii) similar pH values between the two series K-containing samples at
327 the same Ca/Si ratio but different water contents. However, also some discrepancies are ob-
328 served between the calculated and measured data, such as different pH and Si concentrations
329 in particular at low Ca/Si ratios when the Si concentration is high. This apparent discrepancy
330 is thought to be related to poorly described aqueous polynuclear silica complexes at high Si
331 concentration and temperature, which make thermodynamic modelling less accurate.

332 In addition to the equilibrium concentrations, also the solid phases are predicted as shown
333 in Fig 7. The results show that formation of K-shlykovite is only predicted for the K-
334 containing samples with lower water contents and lower Ca/Si ratio in agreement with the ex-
335 perimental observations. At high water contents, ASR-P1 is the only ASR product predict by
336 thermodynamic modelling, while for the K-containing samples with lower water contents,
337 ASR-P1 is formed instead of K-shlykovite at high Ca/Si ratio.

338 Na-shlykovite is the only ASR product that can be predicted for Na-containing samples,
339 no K-shlykovite and ASR-P1 are predicted due to the absence of K. The calculations also
340 show that the amount of Na-shlykovite increases and then decreases with increasing Ca/Si ra-
341 tio. The maximum amount of Na-shlykovite is calculated for a Ca/Si ratio of 0.2, where also
342 the highest intensity of the XRD pattern is observed (see Fig 4).

343 The formation of C-S-H starts to be predicted when the Ca/Si ratio is above 0.2 with low
344 quantities (which are too low to be observed from XRD pattern); the amount of C-S-H in-
345 creases with the increase of Ca/Si ratio. These predictions are nicely in agreement with the
346 observation of C-S-H at Ca/Si ratio of 0.5 from XRD patterns as shown in Fig 4 and ²⁹Si
347 NMR results as shown in Fig 5.

348

349 **3.6 Bulk chemical compositions of the obtained solids**

350 Based on the initial compositions of the mixtures and the measured equilibrium concen-
351 trations, the bulk compositions of the solids for the K-containing samples with both high and
352 low water contents and for the Na-containing samples with high water content only are calcu-
353 lated by mass balance as summarized in Table 6 and plotted in Fig 8. For comparison, the se-
354 lected chemical compositions of the K-shlykovite, ASR-P1 and Na-shlykovite as shown in
355 Table 3 are also plotted in the same figure. The results show that the bulk Ca/Si ratio of the
356 obtained solids increases with the increase of the initial Ca/Si ratio. The higher values of the
357 Ca/Si ratio than those of K-shlykovite, ASR-P1 and Na-shlykovite suggest that additional C-
358 S-H is also formed in these samples, which further support the co-precipitation of C-S-H with
359 ASR products as observed from XRD results (Fig 4), and also predicted by thermodynamic
360 modelling (Fig 7).

361 In contrast to the Ca/Si ratio, the K/Si ratio is found to increase and then to decrease with
362 increasing Ca/Si ratio for the K-containing samples with high water contents. The decreasing
363 of the K/Si ratio is observed for the samples at Ca/Si over 0.5, where also C-S-H is formed
364 (Fig 4). This observation provides a direct evidence for the phenomenon called “alkali recy-

365 cling”, as identified in ASR-affected concrete structures [13][12]. This is also in agreement
366 with the amount of solid phases predicted by thermodynamic modelling in Fig 7, which is de-
367 creasing for ASR products and increasing for C-S-H with increasing Ca/Si ratio, as the maxi-
368 mum alkali binding capacity of C-S-H is about 0.25 [39]. The alkali uptake on C-S-H is lower
369 at higher Ca/Si ratio. It also suggested that the recycling of alkalis will continuously contrib-
370 ute to the dissolution of the remaining reactive silica in concrete aggregate and generate fur-
371 ther ASR [13][12]. In the model system of this work, no further ASR is expected at high
372 Ca/Si with alkali recycling, since all the reactive silica has reacted as observed from ²⁹Si MAS
373 NMR results (see Fig 5).

374 Moreover, in concrete alkali solution is available to initiate the dissolution of reactive sil-
375 ica within the aggregate; Ca may participate later or at the same time depending on the avail-
376 ability. Thus, at certain places within aggregates, Ca may not be available which will influ-
377 ence the formation of Ca-containing ASR products. In contrast, during the synthesis of the
378 laboratory ASR products, all ingredients such as amorphous silica, alkali solution and CaO
379 are available for reaction from the beginning of synthesis. Both systems show the importance
380 of availability of Ca. This is supported by the formation of crystalline ASR products, amor-
381 phous ASR products and C-S-H depending on Ca availability in both model systems in this
382 study and in concrete along the vein of aggregates extended to cement paste as reported in lit-
383 erature [20][19][21][23] as illustrated in Fig 9.

384

385 4. Conclusions

386 In this study, the role of Ca on the formation of ASR products has been investigated for
387 samples containing either K or Na as the alkali source. For this purpose, samples with Ca/Si
388 ratios of 0, 0.1, 0.2, 0.3, 0.4 and 0.5 with constant K/Si or Na/Si ratio of 0.5 were prepared.
389 The effect of water content has also been investigated for the K-containing samples. Thermo-
390 dynamic data for three types of ASR products (i.e., K-shlykovite, Na-shlykovite and ASR-P1)
391 have been determined and used to predict the effect of Ca/Si on the formation of ASR prod-
392 ucts. Based on the results and discussions, the following conclusions can be drawn:

- 393 (1) Calcium plays a significant role in formation of ASR products in both K- and Na-
394 containing samples. Amorphous silica is only substantially consumed in presence of Ca.
395 (2) Crystalline ASR products are favorably formed at low initial Ca/Si ratio at 80 °C, i.e.,
396 Ca/Si = 0.1 to 0.2 for K-shlykovite, and Ca/Si = 0.2 to 0.4 for Na-shlykovite. K-

397 shlykovite is replaced by nano-crystalline ASR-P1 at high water contents or at Ca/Si rati-
398 os over 0.3.

399 (3) At high Ca/Si ratio of 0.5, ASR products are destabilized to C-S-H. This sequence resem-
400 bles those observed in ASR affected concrete structures [20][19][21][23][22], where
401 crystalline ASR products are usually observed within aggregates with limited amount of
402 calcium, whereas amorphous ASR product is usually observed at the edge of aggregate in
403 contact with cement paste rich in calcium.

404 (4) For both K- and Na-containing samples, the aqueous concentrations show similar trends.
405 The Ca concentrations and pH values are increasing, and Si and K concentrations are de-
406 creasing with increasing Ca/Si ratio. Somewhat lower Ca and silicon concentrations and
407 lower pH values are observed for the samples containing Na than for those containing K,
408 indicating a higher stability of Na-shlykovite than of K-shlykovite.

409 (5) Mass balance calculations indicate that the bulk Ca/Si ratios of the obtained solids in-
410 crease with increasing Ca/Si ratios, which supports the formation of the ASR products to-
411 gether with C-S-H as observed from XRD and ²⁹Si NMR results and predicted by ther-
412 modynamic modelling. The K(Na)/Si ratios increase first and then decreased with
413 increasing the Ca/Si ratio, which suggest that the amount of ASR products first increases
414 and then decreases as shown by the XRD results and thermodynamic predictions. It also
415 confirms that alkali recycling can occur when ASR products are converted to C-S-H in
416 calcium rich environment. The formation sequences of C-S-H and ASR products with in-
417 creasing Ca/Si ratio are illustrated in Fig 10.

418 (6) Thermodynamic modelling indicates the need of some Ca to form ASR products and also
419 indicates that at higher Ca/Si ratio ASR products will be destabilized to C-S-H. The pre-
420 dicted solution chemistry and phase assemblages are in good agreement with those from
421 experiments, indicating that thermodynamic modelling can be used to better understand
422 the formation conditions of ASR products.

423

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- 529

530 **Table 1.** Starting materials and mix proportions for the samples.

Samples	SiO ₂ (am)	CaO	KOH	NaOH	H ₂ O ^a	water/solid	Ca/Si	Na(K)/Si	Ca/Na(K)
	g	g	g	g	g	g/g	mol/mol		
<i>CaO-SiO₂-K₂O with higher(lower) water contents</i>									
SKC ₀	4	0	1.87		60	10.2	0	0.5	0
SKC _{0.1}	4	0.373	1.87		60(30)	9.6(4.8)	0.1	0.5	0.2
SKC _{0.2}	4	0.747	1.87		60(30)	9.1(4.5)	0.2	0.5	0.4
SKC _{0.3}	4	1.12	1.87		60(30)	8.6(4.3)	0.3	0.5	0.6
SKC _{0.4}	4	1.49	1.87		80(40)	10.9(5.4)	0.4	0.5	0.8
SKC _{0.5}	4	1.867	1.87		100(50)	12.9(6.5)	0.5	0.5	1
<i>CaO-SiO₂-Na₂O with only high water contents</i>									
SNC ₀	4	0		1.33	60	11.3	0	0.5	0
SNC _{0.1}	4	0.373		1.33	60	10.5	0.1	0.5	0.2
SNC _{0.2}	4	0.747		1.33	60	9.9	0.2	0.5	0.4
SNC _{0.3}	4	1.12		1.33	60	9.3	0.3	0.5	0.6
SNC _{0.4}	4	1.49		1.33	100	14.7	0.4	0.5	0.8
SNC _{0.5}	4	1.867		1.33	100	13.9	0.5	0.5	1

531 ^a For the K-containing samples, high (60 – 100) and low (30 – 50) water contents were applied during mixing.

532 **Table 2.** Standard thermodynamic properties at 25 °C and 1 atm for the C-(N-)K-S-H solid solution.

	$\text{Log}_{10} K_{S0}^a$	$\Delta_f G^\circ$ [kJ/mol]	$\Delta_f H^\circ$ [kJ/mol]	S° [J/K/mol]	C_p° [J/K/mol]	V° [cm ³ /mol]	Ref
T2C [*] : C _{3/2} S ₁ H _{5/2}	-11.6	-2465.4	-2720.7	167	237	80.6	[34]
T5C [*] : C _{5/4} S _{5/4} H _{5/2}	-10.5	-2516.9	-2780.3	159.9	234.1	79.3	[34]
TobH [*] : C ₁ S _{3/2} H _{5/2}	-7.9	-2560	-2831.4	152.8	231.2	85	[34]
INFCN: C ₁ N _{5/16} S _{3/2} H _{19/16}	-10.7	-2452.5	-2642.5	185.6	183.7	71.1	[34]
INFCK: C ₁ K _{5/16} S _{3/2} H _{19/16}	-11.2	-2468.2	-2652.2	212.2	165.1	77.5	this study

533 ^a The solubility products refer to the solubility with respect to the species SiO(OH)₃⁻, OH⁻, H₂O, Ca²⁺, K⁺, Na⁺.

534

535

536 **Table 3.** Chemical compositions (molar ratio) obtained from SEM/EDX and mass balance for
537 the synthesized ASR products.

Sample ^a	ASR products	Selected values for GEMS			SEM/EDX			Mass balance			TGA ^c
		Ca/Si	Na(or K)/Si	H ₂ O/Si	Ca/Si	K/Si	Na/Si	Ca/Si	K/Si	Na/Si	H ₂ O/Si
SNC	Na-shlykovite	0.25	0.25	0.95	-	-	-	0.29	-	0.35	0.95
SK _{0.35} N _{0.15} C	ASR-P1	0.29	0.13	0.73	0.29 ± 0.01	0.13 ± 0.02	0.01 ± 0.01	0.32	0.29	0.10	0.73
SKC	K-shlykovite	0.25 ^b	0.25 ^b	0.875 ^b	0.23 ± 0.01	0.22 ± 0.03	-	0.30	0.41	-	0.78

538 ^a The labelling of the samples SNC, SKC and SK_{0.35}N_{0.15}C are the same as those in the previous study, where S, N, K, and C
539 stands for Si, Na, K and Ca, respectively.

540 ^b Chemical composition of K-shlykovite was reported in [28]. The same Ca/Si and alkali/Si is applied to Na-shlykovite con-
541 sidering the simple alkali substitution of shlykovite [8].

542 ^c H₂O/Si ratios was measured by TGA from [8].

543

544

545 **Table 4.** Solubility products at 80 °C obtained from over- and under-saturation experiments
 546 for the three synthesized ASR products. Oversaturation: direct synthesis for 3 month equilib-
 547 rium time [8]. Under-saturation: re-dissolved solids for 1 month equilibration time.

Sample ^a	Si	Na	K	Ca	pH ^b		Phases	Log ₁₀ K _{S0, 80°C}		
	mM	mM	mM	mM	23 °C	80 °C		Na-shlykovite	ASR-P1	K-shlykovite
Precipitation experiments (over-saturation)										
SNC	302	402	-	0.025	12.6	11.2	Na-shlykovite	-28.3		
SK _{0.35} N _{0.15} C	450	146	243	0.029	11.9	10.4	ASR-P1		-27.6	
SKC	315	-	303	0.012	12.1	10.7	K-shlykovite, ASR-P1		-28.1	-28.0
Dissolution experiments (under-saturation)										
SNC	38.5	50.2	0.07	0.06	11.7	10.2	Na-shlykovite, C-S-H	-26.5		
SK _{0.35} N _{0.15} C	69	32.8	44	0.02	11.5	10.1	ASR-P1		-26.5	
SKC#	39.5	0.7	50.8	0.06	11.0	9.5	K-shlykovite, ASR-P1		-25.2	-25.8

548 ^a The labelling of the samples SNC, SKC(#) and SK_{0.35}N_{0.15}C are the same as those in the previous study, where S, N, K, and
 549 C stands for Si, Na, K and Ca, respectively.

550 ^b The pH values have been measured at 23°C and corrected for the effect of temperature on measured pH values by deducing
 551 1.47 pH units to account for the strong decrease of measured pH values from 23 to 80 °C even at constant OH⁻ concentrations.

552
 553
 554

555 **Table 5.** Solubility products (at 80 °C) selected for thermodynamic modelling obtained from
 556 dissolution experiments for the K- and Na-shlykovite, while for ASR-P1 the mean value from
 557 the data obtained in dissolution experiments and in the precipitation experiments with less
 558 than 100 mM Si is calculated.

Phases	Log ₁₀ K _{S0, 80°C}	Solubility products refer to:
Na-shlykovite	-26.5 ± 2.0 ^a	$K_{S0,Na-shlykovite} = \{Na^+\} \cdot \{Ca^{2+}\} \cdot \{SiO_2^0\}^4 \cdot \{OH^-\}^3 \cdot \{H_2O\}^{2.3}$
ASR-P1	-27.1 ± 1.1 ^a	$K_{S0,ASR-P1} = \{K^+\}^{0.52} \cdot \{Ca^{2+}\}^{1.16} \cdot \{SiO_2^0\}^4 \cdot \{OH^-\}^{2.84} \cdot \{H_2O\}^{1.5}$
K-shlykovite	-25.8 ± 2.0 ^a	$K_{S0,K-shlykovite} = \{K^+\} \cdot \{Ca^{2+}\} \cdot \{SiO_2^0\}^4 \cdot \{OH^-\}^3 \cdot \{H_2O\}^2$

559 ^a The standard deviation for ASR-P1 is calculated using Student's t-test with 95% confidence interval, while the errors for K-
 560 and Na-shlykovite are roughly estimated.

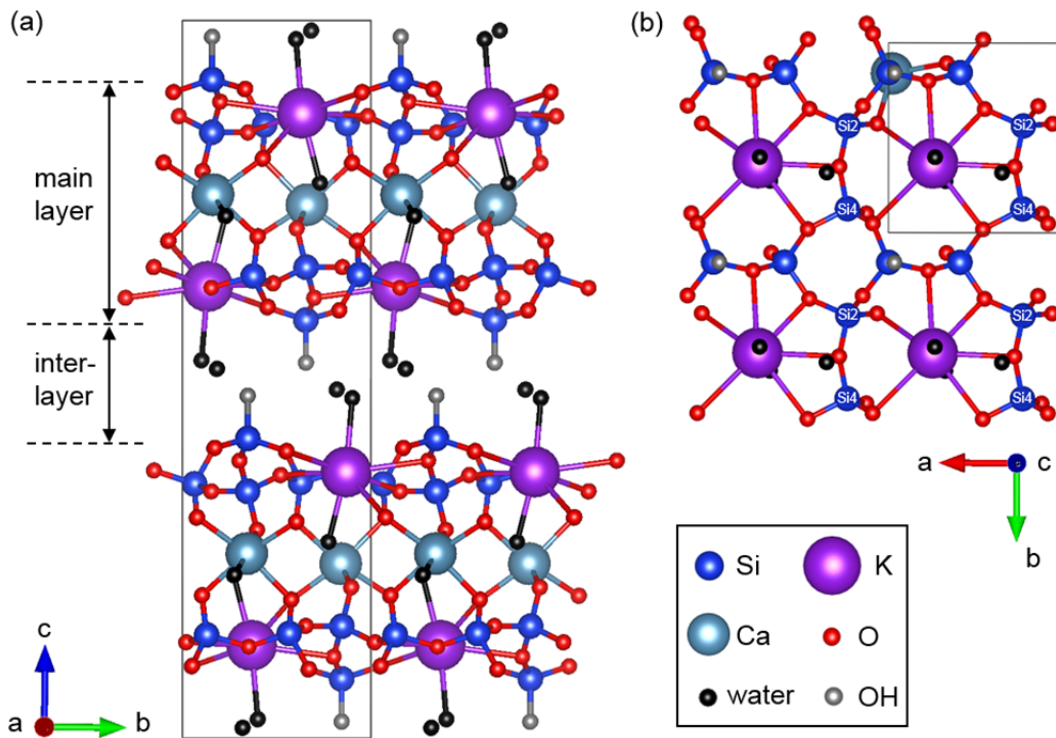
561 **Table 6.** The measured dissolved concentrations of the K- and Na-containing samples in equi-
562 librium, together with the summary of solid phases observed from XRD, bulk chemical com-
563 positions of the solids calculated from mass balance and derived solubility products at 80 °C
564 of the synthesized K-shlykovite, ASR-P1 and Na-shlykovite.

Sample	Si	K	Na	Ca	pH ^a		Phases	Bulk compositions		Log ₁₀ K _{S0} 80°C ^b		
	mM	mM	mM	mM	23 °C	80 °C		Ca/Si mol/mol	K/Si mol/mol	K- shlykovite	ASR- P1	Na- shlykovite
K-containing samples with high water contents												
SKC ₀	902	496		< 0.01	11.3	9.8	SiO ₂ (am)	0	0.19±0.53	-	-	
SKC _{0.2}	401	351		< 0.01	11.6	10.2	ASR-P1, K-shlykovite	0.31±0.02	0.26±0.07	-27.5 ^c	-27.5 ^c	
SKC _{0.3}	135	228		0.96	12.2	10.7	ASR-P1	0.34±0.01	0.31±0.03	-	-26.0 ^c	
SKC _{0.4}	12.4	134		2.82	12.7	11.2	ASR-P1	0.40±0.01	0.33±0.01	-	-27.3	
SKC _{0.5}	9.8	168		1.24	12.9	11.4	ASR-P1, C-S-H	0.51±0.01	0.23±0.03	-	-28.0	
K-containing samples with low water contents												
SKC _{0.1}	1381	744		0.01	11.2	9.7	K-shlykovite	0.25±0.05	0.39±0.16	-26.6 ^c	-	
SKC _{0.2}	702	510		0.01	11.6	10.1	K-shlykovite	0.29±0.01	0.37±0.05	-27.3 ^c	-	
SKC _{0.3}	399	421		0.10	12.4	10.9	ASR-P1	0.36±0.01	0.36±0.03	-	-27.5 ^c	
SKC _{0.4}	125	255		0.11	12.9	11.4	ASR-P1	0.43±0.01	0.34±0.02	-	-28.2 ^c	
SKC _{0.5}	91.6	252		0.15	13	11.5	ASR-P1, C-S-H	0.54±0.01	0.30±0.02	-	-28.3	
Na-containing samples with high water contents												
SNC ₀	904		543	0.04	11.2	9.7	SiO ₂ (am)	0	0.09±0.47			-
SNC _{0.1}	750		478	0.02	11.2	9.7	Na-shlykovite	0.31±0.06	0.23±0.21			-26.8
SNC _{0.2}	458		374	0.02	11.5	10.1	Na-shlykovite	0.34±0.02	0.27±0.10			-27.3
SNC _{0.3}	442		382	0.07	11.7	10.2	Na-shlykovite	0.50±0.03	0.27±0.07			-26.8
SNC _{0.4}	152		171	0.05	11.9	10.5	Na-shlykovite, C-S-H	0.52±0.01	0.32±0.04			-27.1
SNC _{0.5}	145		183	0.05	12.2	10.8	C-S-H	0.64±0.02	0.29±0.05			-

565 ^a The pH values have been measured at 23°C and corrected for the effect of temperature on measured pH values by deducing
566 1.47 pH units to account for the strong decrease of measured pH values from 23°C to 80 °C even at constant OH⁻ concentra-
567 tions.

568 ^b The solubility product refer to: $K_{S0,K-shlykovite} = \{K^+\} \cdot \{Ca^{2+}\} \cdot \{SiO_2^0\}^4 \cdot \{OH^-\}^3 \cdot \{H_2O\}^2$; $K_{S0,ASR-P1} = \{K^+\}^{0.52} \cdot$
569 $\{Ca^{2+}\}^{1.16} \cdot \{SiO_2^0\}^4 \cdot \{OH^-\}^{2.84} \cdot \{H_2O\}^{1.5}$; $K_{S0,Na-shlykovite} = \{Na^+\} \cdot \{Ca^{2+}\} \cdot \{SiO_2^0\}^4 \cdot \{OH^-\}^3 \cdot \{H_2O\}^{2.3}$

570 ^c At very high total Si concentration, polynuclear Si-species dominate the solution; their speciation and stability at higher
571 temperature is not well known, which associates the obtained solubility products with an increased error. The solubility prod-
572 uct calculated at high Si-concentrations are added for comparison only and not used in the derivation of the suggested solu-
573 bility products for K-shlykovite, Na-shlykovite or ASR-P1.



574

575 **Fig. 1.** A schematic structure of K-shlykovite: (a) viewed along [100], (b) the potassium sili-

576 cate layer viewed along [001]. Na-shlykovite has similar structure by simply exchange the K

577 with Na in the silicate ring. Figure reproduced from previous study [8].

578

579

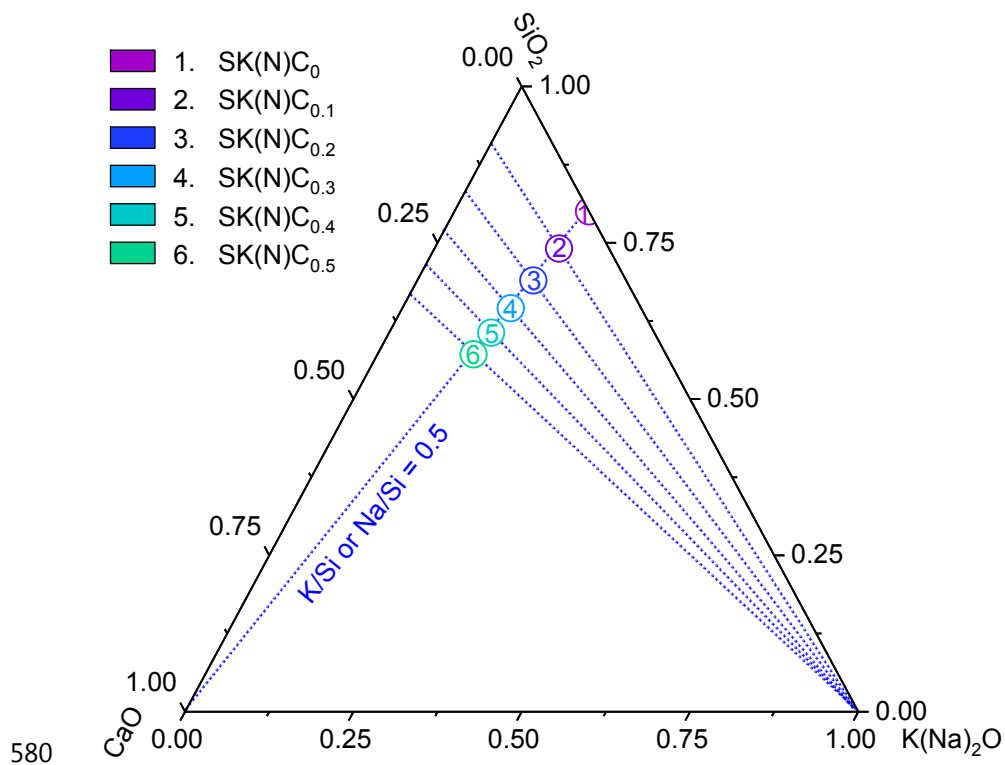
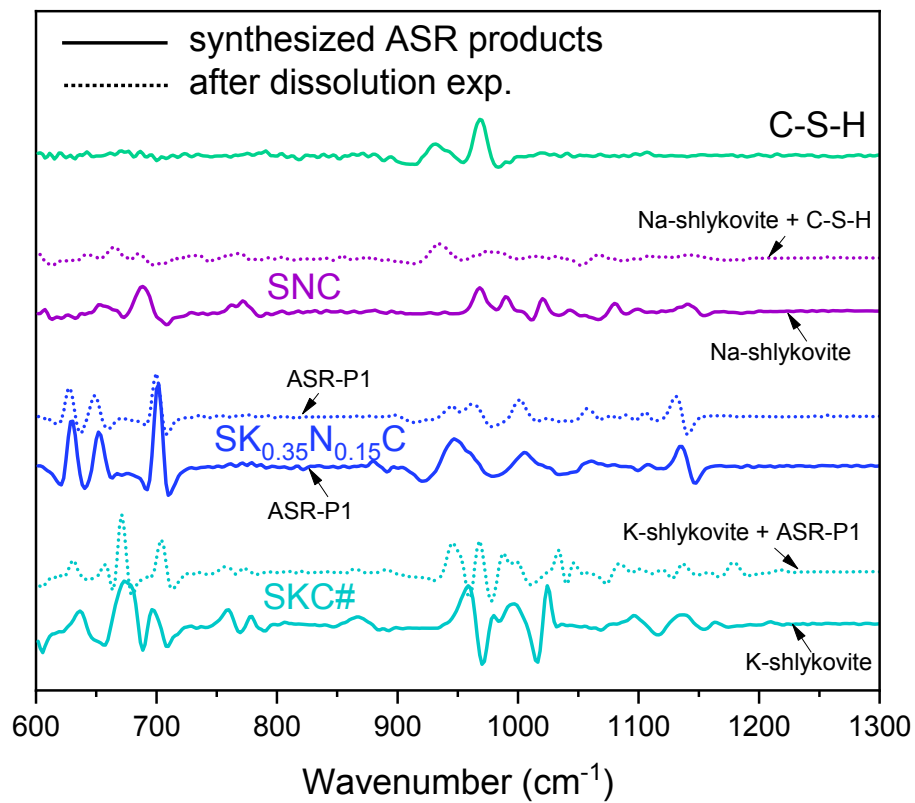
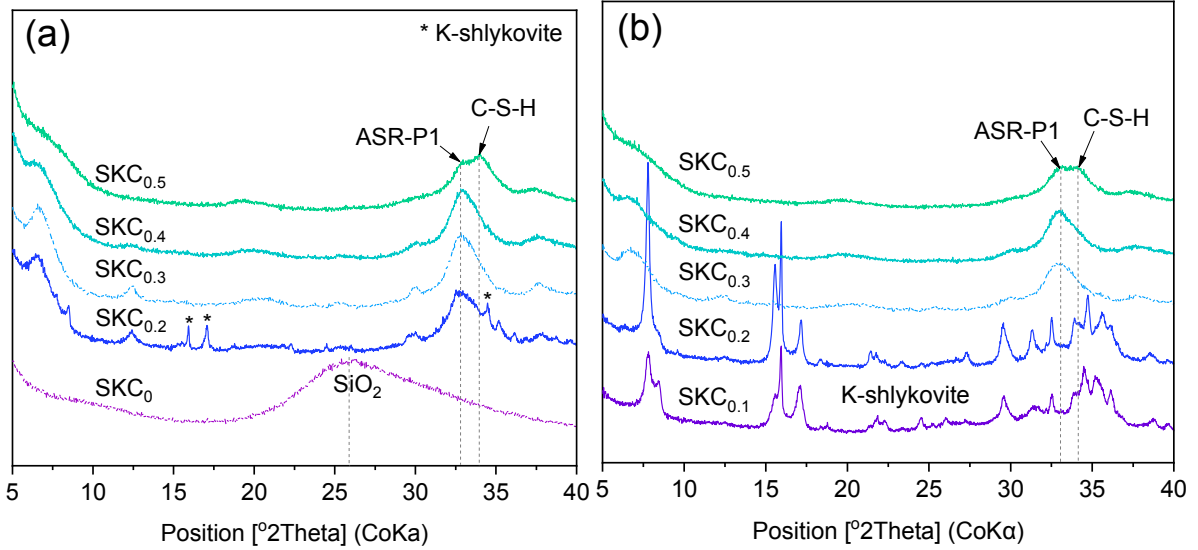


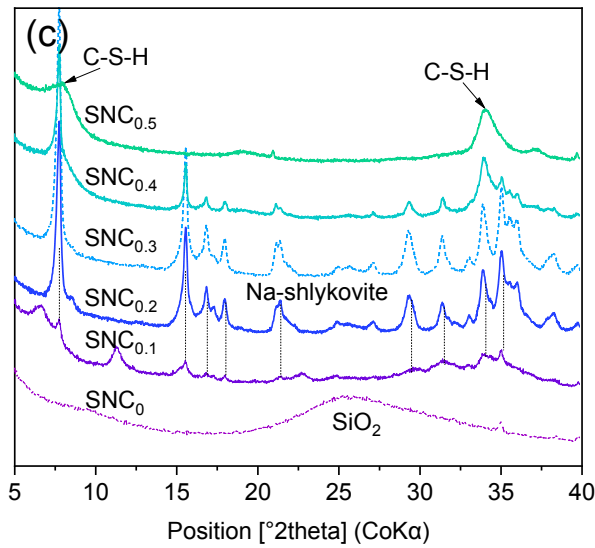
Fig. 2. Bulk compositions (molar fraction) of the starting materials for K- and Na-containing samples projected in CaO-SiO₂-K(or Na)₂O ternary diagram.



583
 584 **Fig. 3.** Second derivative of the FTIR spectra of (a) the directly synthesized ASR products [8],
 585 and (b) the solids after re-dispersion of the synthesized ASR products in water.



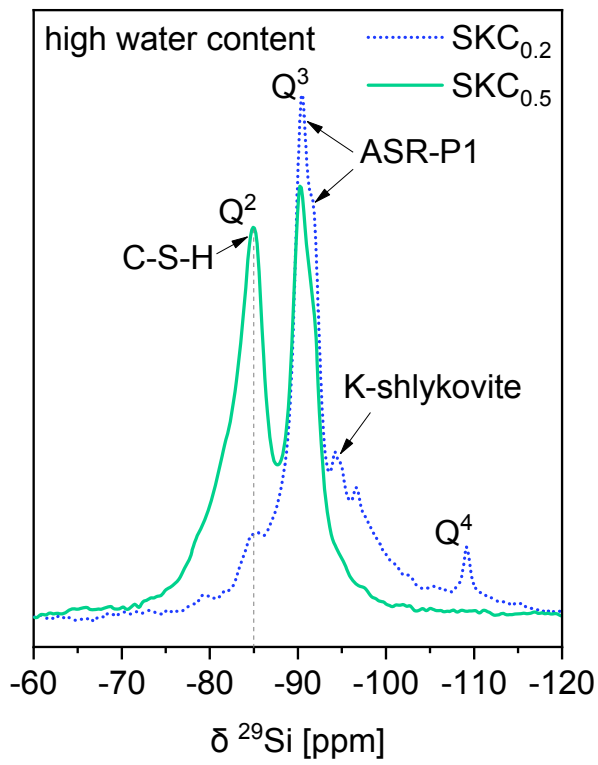
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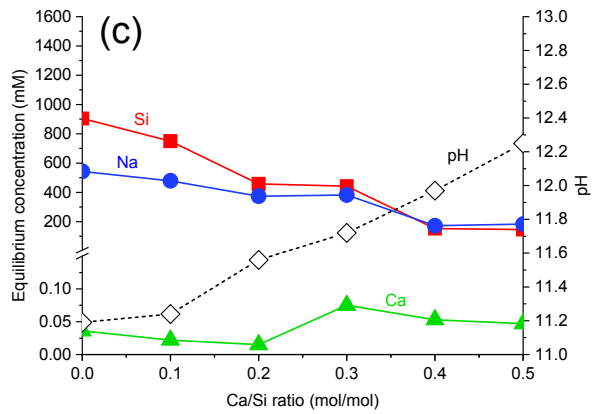
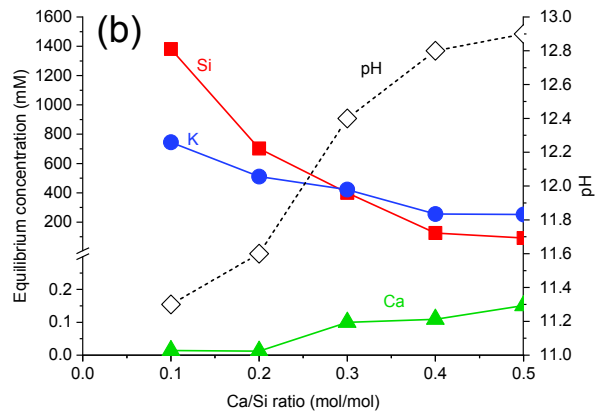
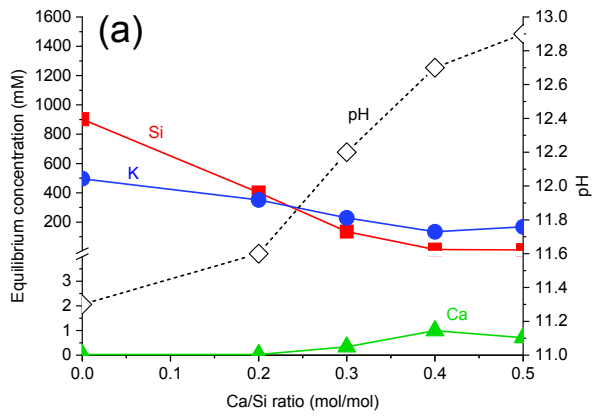
588 **Fig. 4.** XRD diffractograms of the K-containing samples with (a) high water contents, and (b)
 589 low water contents, and (c) for the Na containing samples with high water contents only. The
 590 label of the sample e.g. SKC_{0.1} refer to the sample with Ca/Si of 0.1. ASR-P1: an unknown
 591 phase reported in [8]. The bottle for the sample SKC_{0.1} with high water content was broken,
 592 thus no measurement was performed.

593



594

595 **Fig. 5.** ²⁹Si MAS NMR spectra for the SKC_{0.2} and SKC_{0.5} samples with high water contents
 596 after 90 days of reaction.

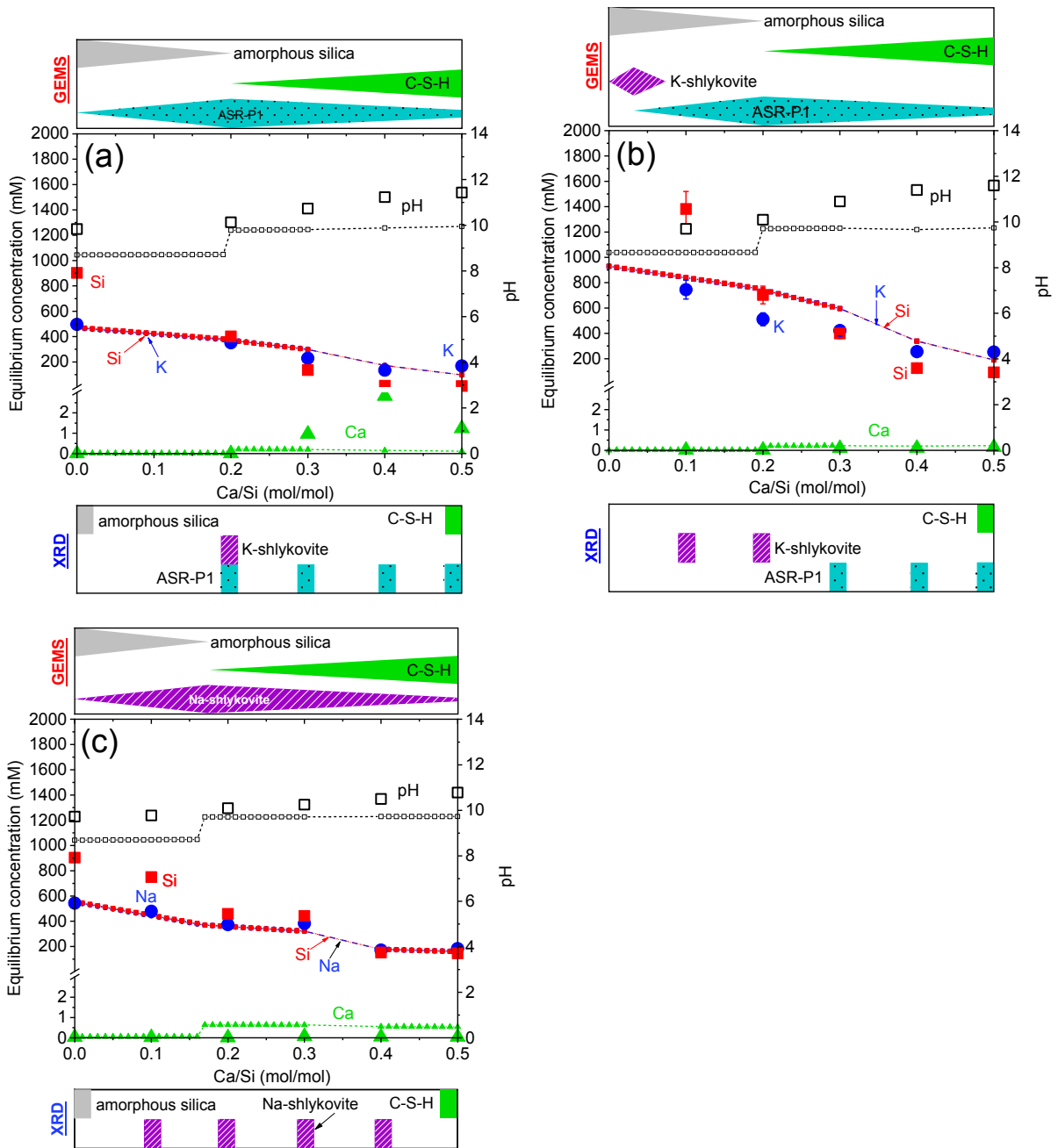


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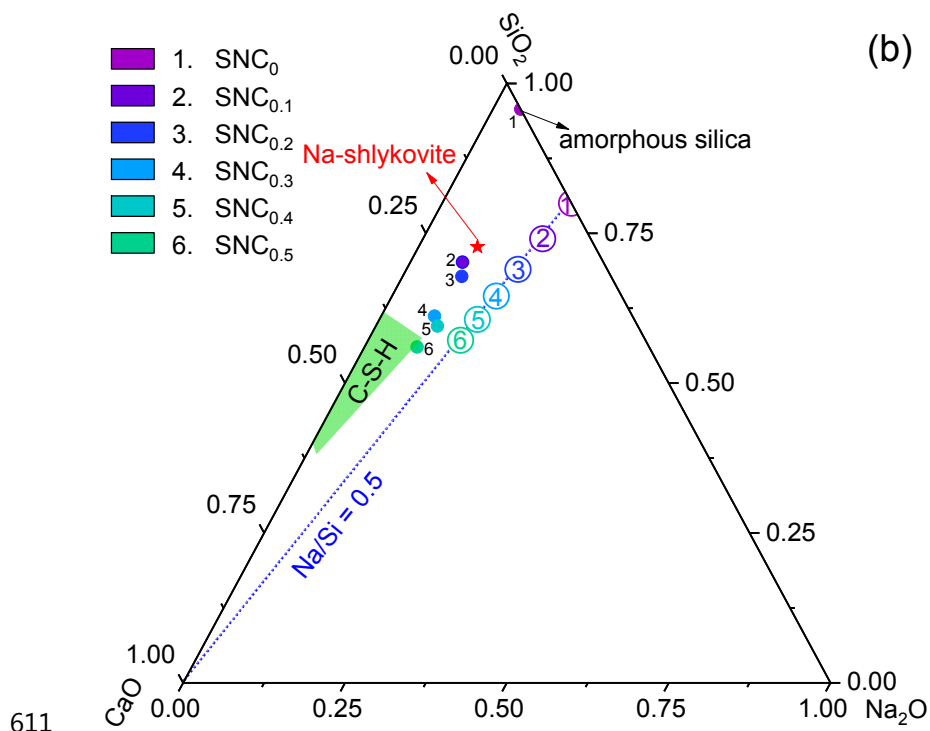
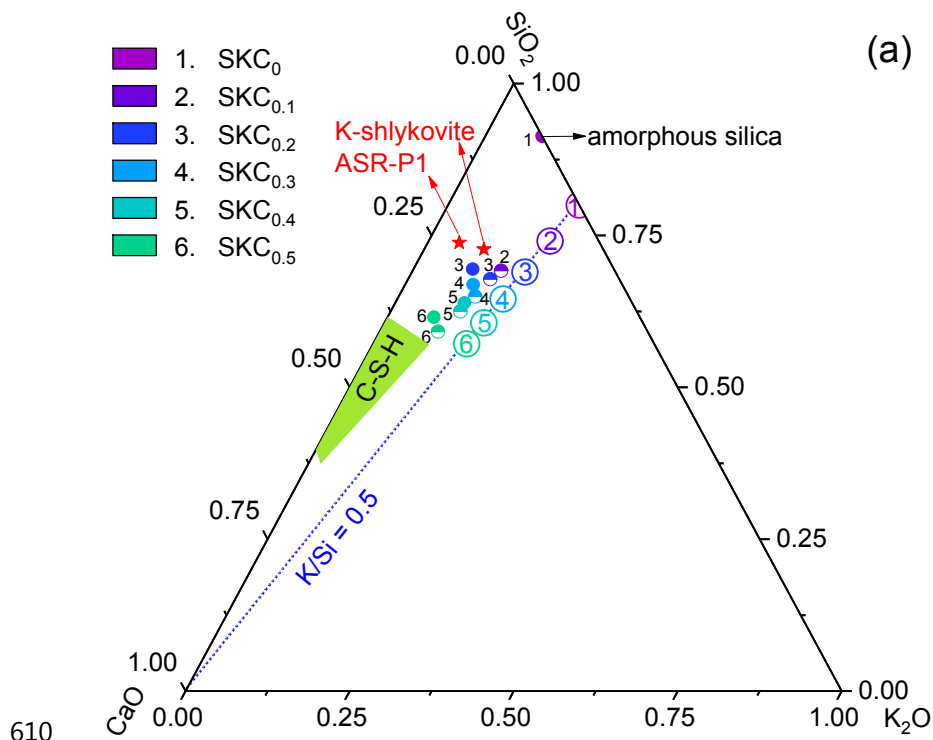
598

599 **Fig 6.** Changes of equilibrium concentrations and the pH values (measured at 23 °C) of the
 600 equilibrium solutions for the K-containing samples with (a) high water contents, and (b) low
 601 water contents, and (c) for the Na containing samples with high water contents only.

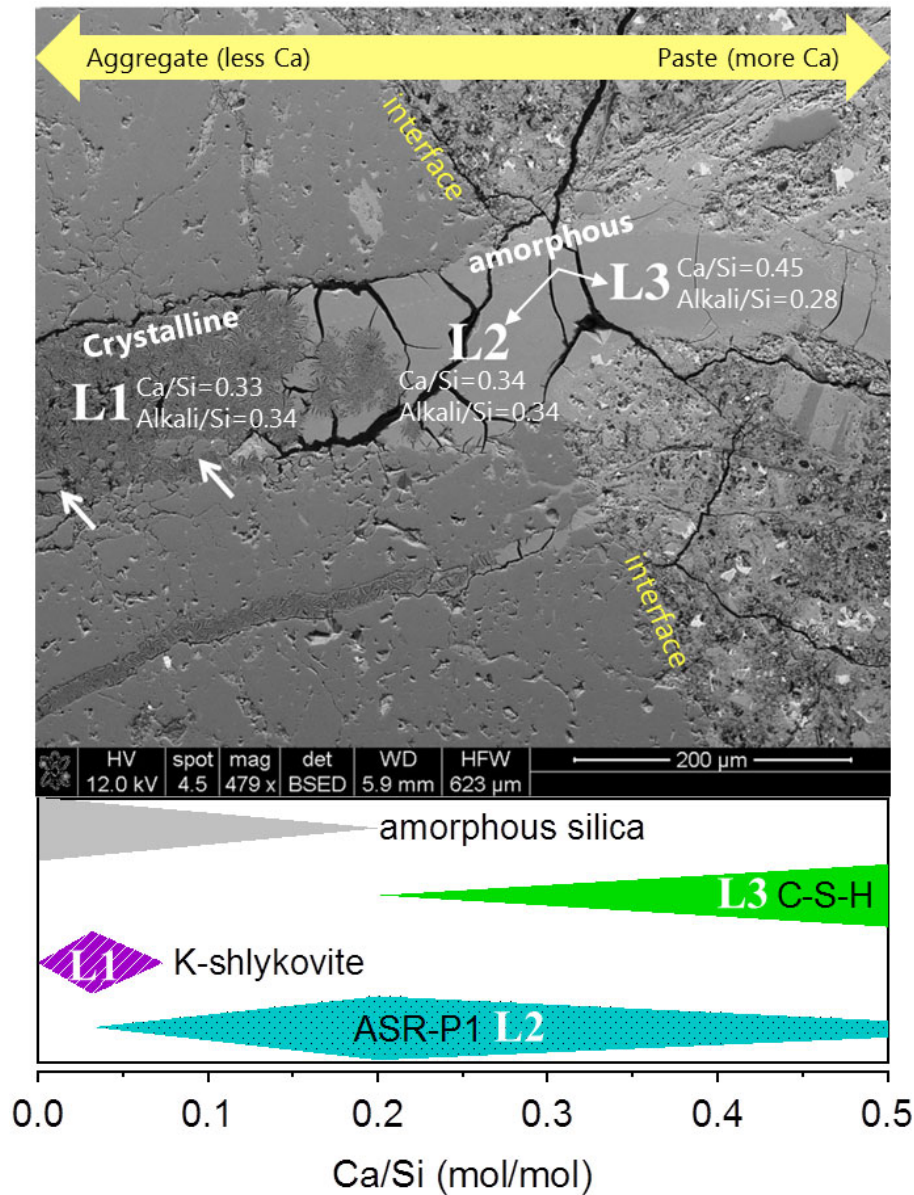
602



605 **Fig 7.** Effect of Ca/Si ratio on the solution chemistry and phase assemblages in the K-
 606 containing samples with (a) high water contents, and (b) low water contents, and (c) in the
 607 Na-containing samples with high water contents only. The small symbols on the dashed lines
 608 refer to the results calculated from thermodynamic modelling at 80 °C. The large symbols re-
 609 fer to the measured concentration and corrected pH values at the same temperature.

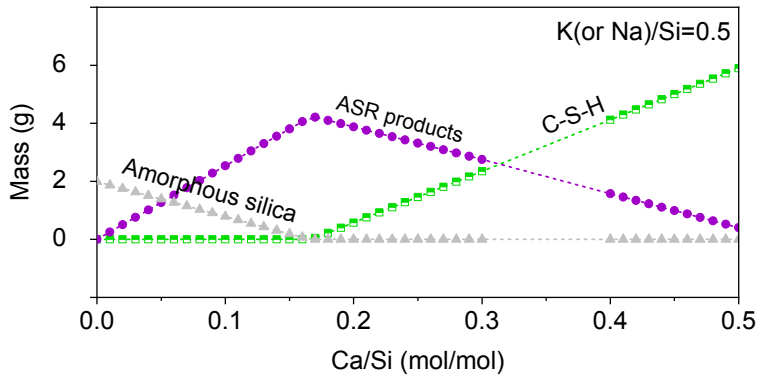


612 **Fig 8.** Bulk chemical compositions (molar fraction) projected in the ternary diagrams (a)
 613 CaO-SiO₂-K₂O and (b) CaO-SiO₂-Na₂O for the starting materials (empty circle) and the sol-
 614 ids obtained after 90 days of reaction at 80 °C (filled circle for the K or Na-containing sam-
 615 ples with high water contents, and half-filled circle for the K samples with low water con-
 616 tents). The chemical compositions for the K-shlykovite, ASR-P1 and Na-shlykovite are
 617 indicated by red star in the corresponding diagram.



618

619 **Fig 9.** Demonstration of the links between concrete and model system with respect of the role
 620 of calcium on formation of ASR products. SEM image of ASR affected concrete is repro-
 621 duced using the data from [22], where three different reaction products were identified at
 622 three different locations along the vein of aggregate extended to cement paste, i.e., L1: crys-
 623 talline ASR product; L2: amorphous ASR product with similar chemical composition to crys-
 624 talline ASR product; and L3: amorphous reaction product with high Ca/Si ratio, which is re-
 625 lated to C-S-H.



626

627 **Fig 10.** Effect of initial Ca/Si ratio on the formation of ASR products (ASR-P1/K-shlykovite
 628 or Na-shlykovite) in the K- or Na-containing samples at initial K(or Na)/Si ratio of 0.5. The
 629 symbols on the dashed lines are data calculated from thermodynamic modelling. No calcula-
 630 tions were executed at Ca/Si ratio between 0.3 and 0.4 for the Na-containing samples due to
 631 the change of water content.